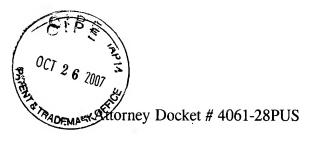
Patent



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art: 1624

In re Application of

Roman LENARSIC et al.

Serial No.:

10/541,604

Filed: July 7, 2005

For:

Process and Intermediates for the Preparation of

Olanzapine

# REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

Attached is a copy of the official filing receipt received from the U.S. Patent and Trademark Office in the above-identified application.

There is an error in:

] .	Applicants'	name	and/or	address

[] Title

[] Filing Date

[] Serial Number

[X] Priority Data (country, number)

[] Incorrect Priority Date

Other [pls specify]

which should read as follows:

**GERMANY** 

A copy of the filing receipt is submitted herewith on which the requested change is entered in red. We are also enclosing a copy of the Preliminary Amendment for viewing upon correction.

It is respectfully requested that a corrected filing receipt be issued.

Respectfully submitted, COHEN PONTANI LIEBERMAN & PAVANE LLP

By:

Kent H. Cheng Reg. No. 33,849

551 Fifth Avenue, Suite 1210

New York, New York 10176

(212) 687-2770

Dated: October 24, 2007



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

APPL NO. | FILING OR 371(c) | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | TOT CLMS | IND CLMS | 10/541,604 | 07/07/2005 | 1624 | 900 | 4061-28PUS | 11 | 2

27799 COHEN, PONTANI, LIEBERMAN & PAVANE 551 FIFTH AVENUE SUITE 1210 NEW YORK, NY 10176 OCT 2 6 2007

CONFIRMATION NO. 8943
CORRECTED FILING RECEIPT
\*OC00000000262262626\*

Date Mailed: 10/10/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Roman Lenarsic, Ljubljana, SLOVENIA; Rok Zupet, Ljubljana, SLOVENIA; Milena Benedik; Brusnice, SLOVENIA; Barbara Mohar, Ljubljana, SLOVENIA; Anton Stimac, Ljubljana, SLOVENIA;

#### **Assignment For Published Patent Application**

KRKA, Tovarna Zdravil D.D., Novo mesto, SLOVENIA

Power of Attorney: The patent practitioners associated with Customer Number 27799.

### Domestic Priority data as claimed by applicant

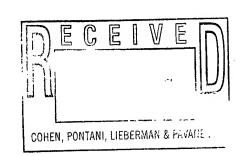
This application is a 371 of PCT/EP04/00299 01/16/2004

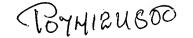
**Foreign Applications** 

SLOVENIA 103 01 923.5 01/17/2003

If Required, Foreign Filing License Granted: 09/07/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/541,604





Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**Title** 

Process and intermediates for the preparation of olanzapine

**Preliminary Class** 

514

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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Title 37, Code of Federal Regulations, 5.11 & 5.15

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Roman LENARSIC et al.

International Appln. No.:

PCT/EP2004/000299

International Filing Date:

January 16, 2004

For:

Process and Intermediates for the Preparation of

Olanzapine

# PRELIMINARY AMENDMENT

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SIR:

Prior to examination of the above-identified application please amend the application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 7 of this paper.

# In the Specification:

Page 1, before line 1, the paragraph beginning with "The invention belongs to" insert the following title and paragraph:

# -- PRIORITY CLAIM

This is a U.S. national stage of application No. PCT/EP2004/000299, filed on January 16, 2004. Priority is claimed on the following application(s): Country: Germany, Application No.: 103 01 923.5, Filed: January 17, 2003; the content of which is incorporated here by reference.--